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ACCOUNT NO. 23-0975

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 5096**
Atsushi KODAMA : Attorney Docket No. 2006_0946A
Serial No. 10/583,330 : Group Art Unit 1752
Filed June 16, 2006 :

DOCUMENT CREATION SYSTEM AND
DOCUMENT CREATION PROGRAM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

DIFFERENCE BETWEEN THE PRESENT INVENTION AND "DPS 10 ACCOUNTING INFORMATION SYSTEM MANUAL"

The "DPS10 Accounting Information System" shows usage of the "general accounting software" developed by Mitsubishi Electric Corporation, which consists of an "account settlement system" for performing automatic processing for daily accounting procedure, monthly accounting procedure and occasional procedure, and an "externally-directed financial settlement preparation system" for making out various financial documents for public submission such as financial statements (forecast balance sheet, profit-and-loss statement, account detailed statement, statement of manufacturing cost, and appropriation statement). Briefly, the cited accounting information system is a mere account processing software utilizing the functions of DPS10 developed by Mitsubishi Electric Corporation, which are of workstation processing, time sharing processing, remote batch processing, local batch processing, online transaction processing, and relational database.

The "document creation system and document creation program" according to the present invention are adapted to create prescribed disclosure documents such as a balance sheet, earnings statement and financial business report and management documents, which are statutorily required to disclose transaction information in the prescribed form in conformity to the commercial law or securities and exchange law. To be more specific, the system of the present invention can automatically make up various

financial account documents and auditing documents stipulated in the Commercial Law, which discloses basic slip information in coordination with disclosure item information and corporate business information. The conventional account processing algorithm as described in the cited reference 1 could not deal with the coordinated financial and auditing data included in such official documents. Further, the present invention can automatically create explanatory notes on balance sheet and earnings statement, financial business report and annexed specification concomitant with a series of accounting procedures, as the occasion arises.

As stated above, the document creation system and program of the present invention are essentially different from the cited system in not only structure but also effect resulting from the difference in structure.

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

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